

Disclosures required under Article 37 of the Financial Instruments and Exchange Law (the “FIEL”)

With respect to the purchase or sale of financial instruments, we may charge you a commission (in case of stock, a commission equal to the transaction price multiplied by a commission rate agreed between you and us in advance and consumption tax, and in case of investment trust, sales commission, trust fees and other fees prescribed for each fund).

Each financial instrument is subject to relevant inherent risk and a substantial amount of loss or liability may occur due to a change in domestic/overseas political, economic or financial environment, market environment such as exchange rates, stock market, commodity market and interest rates, credibility of issuers, and change in underlying assets.

For trading in derivatives, you may be required to pay margin, deposit or collateral (“collateral amount”) based on agreement of each transaction. In addition, you may be required to pay additional collateral amount. For such transaction, nominal amount of transaction may exceed collateral amount, etc.

Collateral amount to be paid by you will vary with the contents of collateral agreement. Therefore, we cannot calculate the maximum ratio of nominal amount against the collateral amount at this moment.

There is a risk that a loss or liability exceeding the collateral amount may occur due to change in underlying asset. In addition, depending on the type of transaction, the rate we show you for sell and purchase as set out in Article 16.1.6 of the Enforcement Order of the FIEL may differ.

In the case that there is an exercise period, please note that there is a limit in period when you can exercise relevant right.

If there is early termination clause such as early cancellation clause or automatic lapse clause, the transaction may be completed prior to the expected completion date.

Since commissions, etc., and risks will differ for each product, before executing any transaction, please carefully read the documents provided prior to the execution of the contracts, prospectus and other materials for customers, etc.

Trade Name, Etc.: Citigroup Global Markets Japan Inc. (Financial Instruments Firm,
Director-General of Kanto Local Finance Bureau (Kin-Sho) No. 130)
Association Membership: Japan Securities Dealers Association, The Financial Futures
Association of Japan, Type II Financial Instruments Firms Association